

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **8038-1011-2****To the Assistant Commissioner of Patents**

Transmitted herewith for filing is the patent application of:

Inventors: **Koichi OHTO**

This application is a division of co-pending Application No. 10/212,234 filed on August 6, 2002 which is a Division of 09/727,675, filed December 4, 2000, the entire contents of which are hereby incorporated by reference

Title: **METHOD FOR FORMING A CAPPING LAYER ON A COPPER INTERCONNECT (AS AMENDED)**

Enclosed are:

- ☒ **12** Pages of Specification containing 7 claims
- ☒ **3** Sheets of formal Drawings ☒ Abstract of the Disclosure
- ☐ Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)
- ☐ Newly executed Oath or Declaration/Power of Attorney
- ☒ Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Application Data Sheet under 37 C.F.R. § 1.76
- ☐ Assignment Papers (cover sheet & document(s))
- ☒ An assignment to **NEC ELECTRONICS CORPORATION** for parent application no. **10/212,234** was recorded on **May 13, 2003** at Reel **013650**, Frame **0020-0029**
- ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☐ Copy of IDS citations
- ☒ Preliminary Amendment
- ☒ Itemized Return Receipt Postcard
- ☐ Certified Copy of Priority Document(s)
- ☒ Priority of **Japan** application No. **11-343510** filed in **Japan** on **December 2, 1999** is claimed under 35 U.S.C. § 119.
See attached copy of the Letter claiming priority filed in the prior application on **June 14, 2001**.
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

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(continued)

If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part of Prior Appln. # 10/212,234

Prior Application Information: Examiner: E. KIELIN

Tech Center: 2813

AMEND THE SPECIFICATION BY INSERTING BEFORE THE FIRST LINE THEREOF THE FOLLOWING:

- ☒ --This application is a ☐ continuation ☒ division ☐ continuation-in-part of co-pending Application No. 10/212,234, filed on August 6, 2002, which is a Division of 09/727,675, filed December 4, 2000, the entire contents of which are hereby incorporated by reference.--
- ☐ --This application is a ☐ continuation ☐ division of co-pending Application No. 10/212,234, filed on August 6, 2002. Application No. 10/212,234 is the national phase of PCT International Application No. PCT/_____/_____, filed on _____ under 35 U.S.C. § 371. The entire contents of each of the above-identified applications are hereby incorporated by reference.--

CORRESPONDENCE INFORMATION

Customer Number:

Bar Code Label:

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PATENT TRADEMARK OFFICE

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The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE				\$375.00		\$750.00
TOTAL CLAIMS	7 - 20 =	_____	\$9.00	\$_____	\$18.00	\$_____
INDEPENDENT CLAIMS	1 - 3 =	_____	\$42.00	\$_____	\$84.00	\$_____
MULTIPLE DEPENDENT CLAIM FEE			\$140.00	\$_____	\$280.00	\$_____
TOTAL SMALL ENTITY				\$_____		
			TOTAL LARGE ENTITY			<u>\$750.00</u>

- ☒ A Check in the amount of 750.00 to cover all fees is attached.
- ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

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TWP/maf

Date: July 21, 2003